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# AAPOR Transparency Initiative



## CfMC | “Friend and Supporter” Update

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# AAPOR TI Committee - 5/15/14 Notes

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- Summer launch - *July 1 to Sept 1*
  - ▣ Target to open TI application & review process
  
- Membership fees
  - ▣ Likely waived for first year to encourage participation
  
- Supporters → “Friends”
  - ▣ CfMC has engaged from the beginning
  - ▣ Now → focused on customer enablement to assist in certification

# TI Certification Process Enablement

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## Disclosure Requirements

### B 6.

Summaries of the disposition of study-specific sample records

### C.

Agreement to compute response rates according to AAPOR Standard Definitions

## CfMC Enablement

- Disposition Codes Mapping Table
  - CfMC codes → AAPOR codes
  - User Defined codes → AAPOR codes
  
- Response Rate Logic Display
  - How to handle incompletes
  - Calculations of response rates

# CfMC → AAPOR Code Mapping

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- CfMC Best Practices
  - Software has default settings for sample disposition
  
- AAPOR TI to CfMC code mapping
  - Lookup Table enables conversion for both new & existing reports
  
  - If users defined their own codes
    - table can be edited to reflect user settings

	AAPOR	CfMC_Code
<b>1. Interview</b>	1.000	1
I = Complete	1.100	1
P = Partial ( <i>included in analysis file</i> )	1.200	1
<b>2. Eligible, Non-Interview</b>	2.000	
<b>R = Refusal and break-off</b>	2.100	2, 4, 160
<i>Refusal</i>	2.110	2
<i>Household-level refusal</i>	2.111	
<i>Known respondent refusal</i>	2.112	
<i>Break-off</i>	2.120	4,160
<b>NC = Household Eligible, but Non-contact</b>	2.200	104-105,107,161-179,601-679,857,901,902
<i>Respondent never available</i>	2.210	104-105, 161-179,184,601-679,901,902
<i>Telephone answering device (message confirms residential household)</i>	2.220	107
<i>Message left</i>	2.221	
<i>No message left</i>	2.222	
<b>O = Other</b>	2.300	3,191-199
<i>Dead (died after selection/status day)</i>	2.310	
<i>Physically or mentally unable/incompetent</i>	2.320	
<i>Language</i>	2.330	3
<i>Household-level language problem</i>	2.331	
<i>Respondent language problem</i>	2.332	
<i>No interviewer ever available for needed language</i>	2.333	191-199
<i>Inadequate audio quality</i>	2.340	
<i>Location/Activity not allowing interview (eg. Cell phone in car)</i>	2.350	
<i>Miscellaneous</i>	2.360	

# User Choices

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- User controls which statistics are used
  - ▣ Partial completes not included by default
  - ▣ User may choose to include:
    - Unknown eligibility
    - Other eligibilities in cooperation rate
  
- Default settings & user definable decisions

Decision	Choice	Default
INCLUDE_PARTIALS	'Yes/No	No
INCLUDE_UNKNOWNNS	'Yes/No/Estimated	Yes
INCLUDE_COOP_OTHERS	'Yes/No	Yes
STUDY	----	----
COMPANYNAME	----	----

# Logic Used in Reporting

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## Response Rate

$$RR1 = I / ( (I+P) + (R+NC+O) + (UH+UO) )$$

$$1.10 / (1.10+1.20) + (2.10+2.20+2.30) + (3.10 + 3.20+3.30+3.90)$$

## Cooperation Rate

$$COOP1 = I / ( (I+P) + R + O )$$

$$1.10 / ((1.10+1.20) + 2.10 + 2.30)$$

## Refusal Rate

$$REF1 = R / ((I+P) + (R+NC+O) + (UH+UO))$$

$$2.10 / ((1.10+1.20) + (2.10 + 2.20 + 2.30) + (3.10 + 3.20+3.30+3.90))$$

## Contact Rate

$$CON1 = ((I+P) + R + O) / ((I + P) + R + NC + O + (UH+UO))$$

$$((1.10+1.20) + 2.10 + 2.30) / ((1.10+1.20) + 2.10 + 2.20 + 2.30) + (3.10 + 3.20+3.30+3.90))$$

# Sample Disposition *Example Report*

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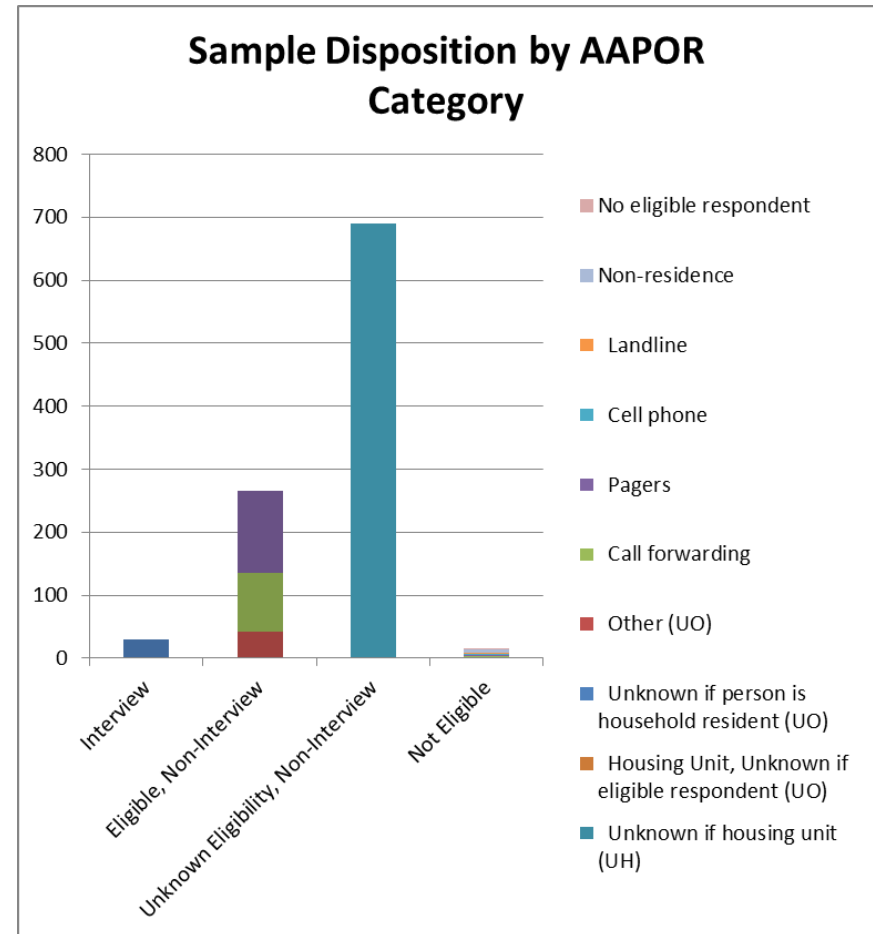
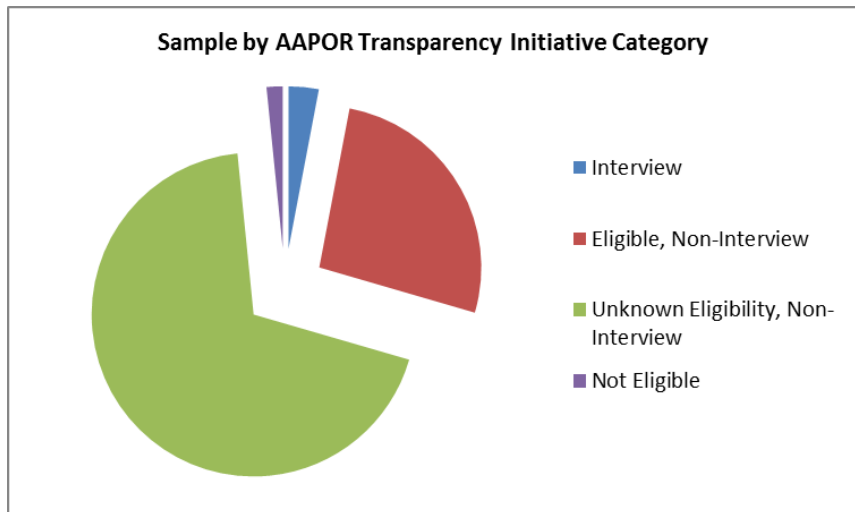
- Accounts for all sample
  
- Discloses opportunities for bias

Total Sample			1000	100%
DISPOSITION CATEGORY	SUBCATEGORY	CATEGORY	TOTAL	PERCENTAGES
<b>1. Interview:</b>			<b>30</b>	<b>3.0%</b>
Completes (I)			30	3.0%
<b>2. Eligible, Non-Interview:</b>			<b>265</b>	<b>26.5%</b>
Refusal and Breakoff (R)			42	4.2%
Refusal			6	0.6%
	Known Respondent refusal	6		0.6%
Breakoff			36	3.6%
Household eligible, but no contact (NC)			94	9.4%
Respondent never available			77	7.7%
Telephone answering device			17	1.7%
	Message left	17		1.7%
Other (O)			129	12.9%
Language Problem			129	12.9%
	Known Respondent language problem	6		0.6%
	No interviewer in language available	123		12.3%
<b>3. Unknown Eligibility, Non-Interview:</b>			<b>689</b>	<b>68.9%</b>
Unknown if housing unit (UH)			689	68.9%
Not attempted or worked			434	43.4%
Always busy			33	3.3%
No answer			222	22.2%
Housing Unit, Unknown if eligible respondent (UO)			0	
Unknown if person is household resident (UO)			0	
Other (UO)			0	
<b>4. Not Eligible:</b>			<b>16</b>	<b>1.6%</b>
Non-working/disconnected number			8	
Call forwarding			3	0.3%
	Residence to residence forwarding	2		0.2%
	Nonresidence to residence forwarding	1		0.1%
Pagers			2	0.2%
Cell phone			2	0.2%
Landline			1	0.1%
NonResidence			5	0.5%
Institution			2	0.2%
Group quarters			1	0.1%
Person not household resident			2	0.2%
No eligible respondent			3	0.3%

# Detail is Available for Reporting

Data can then be analyzed & presented however you like

### EXAMPLES...





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